



COMMONWEALTH OF KENTUCKY  
**OFFICE OF THE SECRETARY OF STATE**  
ALISON LUNDERGAN GRIMES

TO: Potential Candidates  
FROM: Alison Lundergan Grimes, Secretary of State

To avoid any delays in the filing of candidate documents to attain ballot access required to file with the county clerk, candidates should directly contact the county clerk in their county of residence for filing procedures and requirements.

To avoid any delays in the filing of candidate documents to attain ballot access required to file with the Office of the Secretary of State, the following is provided:

1. Complete all applicable blanks on the filing form. The Office of the Secretary of State will make the necessary copies for distribution.
2. Candidates and signers must have their signatures acknowledged by a Notary Public, if applicable, and the expiration date of the notary's commission must be stated.
3. All copies of the Appointment of Campaign Treasurer and Optional Request for Reporting Exemption **(not applicable to Federal Candidates who must register with the Federal Election Commission)** should accompany the filing form. The Office of the Secretary of State provides a duplicate copy to the Registry of Election Finance.
4. Checks should be payable to the Kentucky State Treasurer.

Questions relating to campaign finance procedures and requirements should be directed to the Registry of Election Finance at 502-573-2226. In addition, the Registry's website, <http://www.kref.ky.gov>, provides for the downloading of required reporting forms and a book titled Guide to Campaign Finance. Questions relating to campaign finance matters for federal candidates should be directed to the Federal Election Commission at 1-800-424-9530.

Candidate filing forms may be filed in person or by mail at the following address:

Office of the Secretary of State  
Election Division  
Room 148, State Capitol  
700 Capital Avenue  
Frankfort, KY 40601-3493

If our office may be of further assistance to you, please contact our election staff at 502-564-3490 or by email at [sos.electns@ky.gov](mailto:sos.electns@ky.gov).

**GOVERNOR/LIEUTENANT GOVERNOR  
WRITE-IN CANDIDATES  
GENERAL ELECTION**

**The Office of Governor/Lieutenant Governor will appear on the 2015 general election ballot.**

<b>Signatures Required:</b>	Each candidate's signature
<b>Latest date to file (4:00 p.m., local time)</b>	<b>October 23, 2015</b>
(On or before the fourth Friday in October preceding the date of the regular election)	

<b>Filing Form SBE/SOS/03</b>	Declaration of Intent to be Write-In Candidates
<b>Filing Form KREF-001/G</b>	Slate of Candidates Appointment of Campaign Treasurer
Filing Fee	\$50.00
Filing Official	Secretary of State

Candidates may seek the Office of Governor/Lieutenant Governor as write-in candidates by filing a slate Declaration of Intent to be Write-in Candidates with the Secretary of State. Write-in votes shall be counted **ONLY** for candidates for election to office who have filed a Declaration of Intent to be Write-In Candidates with the Secretary of State.

A person shall be **ineligible** as a write-in candidate:

- (a) For more than one (1) office in a general or special election; or
- (b) If his or her name appears upon the ballot label as a candidate for any office, except that the candidate may file a notice of withdrawal prior to filing an intent to be a write-in candidate for office when a vacancy in a different office occurs because of:
  - 1. Death;
  - 2. Disqualification to hold the office sought;
  - 3. Severe disabling condition which arose after the nomination; or
  - 4. The nomination of an unopposed candidate.

**Establishing Campaign Account:** For information regarding campaign contribution and expenditure reporting requirements received or expended in the year before the year the candidate appears on the ballot, contact the **Registry of Election Finance** at (502) 573-2226.

**Please Note:** All information contained in this packet is subject to change per Kentucky Revised Statutes.



GOVERNOR/LIEUTENANT GOVERNOR CANDIDATES'
DECLARATION OF INTENT TO BE WRITE-IN CANDIDATES

To: SECRETARY OF STATE, COMMONWEALTH OF KENTUCKY

I, (Name of Candidate for Governor - Please Type or Print)

reside at (Residential Address), KY (City) (Zip)

and I, (Name of Candidate for Lieutenant Governor - Please Type or Print)

reside at (Residential Address), KY (City) (Zip)

intend to be write-in candidates in the general election to be held on November (Day), 20 (Year)

for the office of Governor and Lieutenant Governor. We do further state that if elected we qualify for the offices sought.

(Signature of Candidate for Governor) (Signature of Candidate for Lieutenant Governor)

The voter must write-in your surname on the ballot, but may additionally write-in any of the name variations you have provided below to assist the county board of elections in determining voter intent when counting write-in votes on Election Day. These variations may include any name, in addition to your surname, that voters commonly use to identify you, which may be a nickname, initials or an abbreviated form of your name. 31 KAR 6:030, Section 6 (10) &(11) (Listed Below).

Example: James Richard Doe, J.R. Doe, Jim Doe, Rich Doe, Richie- Rich Doe, Dickey Doe

Three horizontal lines for writing name variations.

KRS 117.265 (2): Write-in votes shall be counted only for candidates for election to office who have filed a declaration of intent to be a write-in candidate with the Secretary of State or county clerk, depending on the office being sought, not less than ten (10) days preceding the date of the regular or special election. The declaration of intent shall be filed no later than 4 p.m. local time at the place of filing when filed on the last date on which papers may be filed. The declaration of intent shall be on a form prescribed by the Secretary of State.

31 KAR 6:030, Section 1. Definitions

- (5) "First name" means an individual's name or names given at birth, as distinguished from a family name or surname.
- (6) "Name" means one or more first names coupled with one or more surnames.
- (7) "Nickname" means a shortened version of an individual's name or a descriptive or alternative name, in addition to or instead of the first name or surname of an individual.
- (10) "Surname" means the family name bestowed at birth, acquired by marriage, or legally adopted by choice.

31 KAR 6:030, Section 6. Definition of a Vote for Write-in Voting Generally.

- (1) Only votes cast for eligible write-in candidates under KRS 117.265 shall be considered valid and counted.
- (2) A write-in vote for a candidate whose name already appears on the ballot label as a candidate shall not be counted as a vote under KRS 117.265.
- (3) The use of stickers, labels, rubber stamps, or other similar devices shall not be counted as write-in votes.
- (4) Any minor misspelling of the name of a candidate shall be disregarded in determining the validity of a write-in vote as long as the intended candidate can be clearly determined.
- (5) Writing in only the surname of an eligible candidate shall constitute a valid vote, unless there is more than one (1) filed candidate with the same surname for that office. If there is more than one (1) filed candidate with the same surname for that office, writing in only the surname shall not constitute a vote.
- (6) Writing in only the first name of an eligible candidate shall not constitute a valid vote.
- (7) Writing in only the initials of a candidate shall not constitute a valid vote.
- (8) Writing in only the nickname of an eligible candidate shall not constitute a valid vote.
- (9) If the voter writes in any other name along with the surname of an eligible write-in candidate, the other name written by the voter shall comply with the variations of names listed by the candidate on SBE/SOS/01, 02, or 03, to constitute a valid vote.
- (10) Writing in the surname of the candidate for Governor or the surname of the candidate for Lieutenant Governor shall be sufficient to cast a write-in vote for the slate.
- (11) Writing in the surname of the candidate for President or the surname of the candidate for Vice President shall be sufficient to cast a write-in vote for the slate.



KENTUCKY REGISTRY OF ELECTION FINANCE

140 Walnut Street; Frankfort, KY 40601-3240

(502) 573-2226 FAX (502) 573-5622

www.kref.ky.gov

REGISTRY USE

SLATE OF CANDIDATES  
APPOINTMENT OF CAMPAIGN TREASURER

Please Type or Print

This form does not constitute registering a campaign committee

Logged \_\_\_\_\_

Keyed \_\_\_\_\_

CANDIDATE INFORMATION

(Slate is responsible for notifying the Registry of any change of information)

Candidate for Governor

Mailing Address

City State Zip

( ) - ( )  
Daytime Phone Alternate Phone

/ /  
Candidate's Date of Birth

Candidate for Lt. Governor

Mailing Address

City State Zip

( ) - ( )  
Daytime Phone Alternate Phone

/ /  
Candidate's Date of Birth

Party Affiliation: ☐ Democrat ☐ Republican ☐ Independent ☐ Other \_\_\_\_\_

TREASURER AND DEPOSITORY INFORMATION

Treasurer's Name

Mailing Address

City State Zip

( ) - ( )  
Daytime Phone Alternate Phone

CAMPAIGN DEPOSITORY (Depository must be a financial institution authorized to do business in Kentucky and insured by the Federal Deposit Insurance Corporation.)

Name of Financial Institution intended for use as Campaign Depository

Address of Financial Institution intended for use as Campaign Depository

SIGNATURES

We hereby certify that the foregoing is our act and deed.

Signature - Candidate for Governor

Date

Signature - Candidate for Lt. Governor

Date

Signature - Treasurer for Slate

Date

